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PATENT



Attorney's Docket No. 99-959



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Box Patent Application**

**Assistant Commissioner for Patents  
Washington, D. C. 20231**

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of:

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For:

METHOD FOR DE-JITTERING OF TRANSPORTED MPEG-  
2 AND MPEG-4 VIDEO

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**Certification Under 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 6/20/00 in an envelope as "Express Mail Post Office to Addressee" mailing label EK673491721US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

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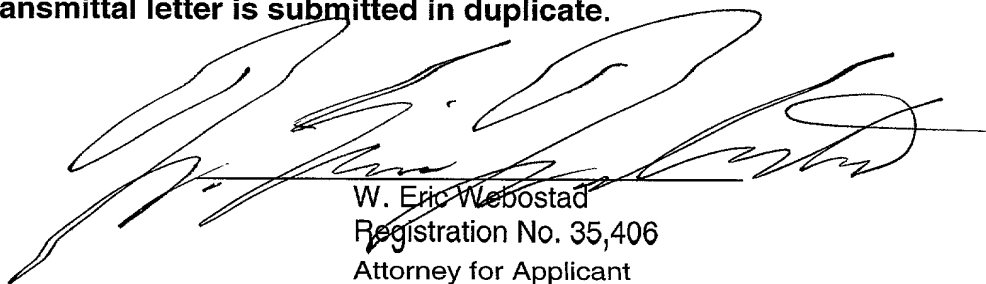
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Enclosed are:

[ 9 ] pages of specification  
 [ 4 ] pages of claims  
 [ 1 ] page of abstract  
 [ 3 ] sheets of drawings  
 [ 3 ] pages of declaration and power of attorney.  
 [ ] pages of assignment and assignment recordation form  
 [ ] pages of information disclosure statement  
 [ ] pages of form 1449  
 [ ] references  
 [ 1 ] return postcard

CLAIMS AS FILED			
	NUMBER FILED	NUMBER EXTRA	BASIC FEE \$690.00
TOTAL CLAIMS	12 - 20	0 x	\$18.00 0.00
INDEPENDENT CLAIMS	3 - 3	0 x	\$78.00 0.00
MULTIPLE DEPENDENT CLAIMS(S)		0 x	\$260.00 0.00
TOTAL FILING FEE			\$690.00

- [x] Please charge my Deposit Account No. 07-2339 in the amount of \$690.00. **This transmittal letter is submitted in duplicate.**
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**METHOD AND SYSTEM FOR DE-JITTERING  
TRANSMITTED MPEG-2 AND MPEG-4 VIDEO****Cross-Reference to Related Applications**

This application claims priority under 35 U.S.C. § 119 based on U.S. Provisional Application Serial No. 60/167,339, filed November 24, 1999, the disclosure of which is incorporated by reference.

**Statement Regarding Federally Sponsored Research Or Development**

This invention was made with Government support under Contract No. DAAL-01-96-2-0002, awarded by the U.S. Army Research Laboratory. The Government has certain rights in this invention.

**Field of Invention**

This invention relates generally to the field of multimedia transmission over a network. More specifically, this invention relates to method of de-jittering MPEG-2 and MPEG-4 video data transmitted over a packet switched network.

**Background of the Invention**

The MPEG-2 and MPEG-4 standards are well-known in the art for coding and storing multimedia video and associated audio information. When MPEG multimedia data is transmitted over a network from a source device to a destination device, it is important that the transmitted data be synchronized at the destination device by matching the destination device's clock to the source device's clock. It is known in the art to use a

phase locked loop (PLL) at the destination device to synchronize the source device's clock with the destination device's clock.

Generally, as is known in the art, MPEG-2 and MPEG-4 standards call for multimedia data to be coded and stored in discrete data packets. The format of each data packet provides for a "clock-stamp" reference value in which a time reference value from the source device's clock can be stored prior to transmission across the network. When a stream of data packets are transmitted over a network, only a selected sample of the data packets actually include a clock-stamp time reference stored in the reserved data bytes.

The destination device compares the clock-stamp time references that it receives in the transmitted MPEG data with the instant time provided by the destination device's local clock. From this comparison, a phase error can be derived. A PLL uses the phase error to adjust the decoder clock. Methods of comparing clock-stamp time references with the destination device's clock to determine a phase error and enable a PLL to adjust the destination device's clock to match the source device's clock are known in the art.

For purposes of synchronizing the device's respective clocks, MPEG semantics assume a constant delay network between the source device and the destination device. However, it is difficult, if not impossible, to maintain a constant network delay. Non-constant network delays, known as "jitter", can result in a degradation of the video playback. Jitter results in data packets arriving at the destination device in a non-uniform manner, which impedes effective clock synchronization by the PLL. Specifically, the PLL must perform additional filtering in order to correctly estimate the STC clock values. This, in turn, slows down the responsiveness of the PLL and affects the maximum phase error introduced by the PLL between the clock-stamped reference values encoded from

the source device's clock and the corresponding destination device's time clock references. To assure a stable recovery of the source device's clock values (also referred to as the system clock (STC)) by the PLL, de-jittering algorithms must be performed before the encoded clock values are passed to the PLL.

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### Brief Summary of the Invention

The present invention comprises an improved method and system for reducing jitter in MPEG data transmissions due to non-constant network delay times. Generally, the present invention calculates a statistical estimation of the average network system jitter. The estimated average network system jitter is then used to re-calculate a "corrected" reference value for subsequent clock-stamp reference values. Specifically, for each data packet that contains a clock-stamp reference value, the clock-stamp reference value is parsed out from the rest of the data packet. The average network jitter is estimated based on a prior pre-determined sample of data packets. An estimated jitter is then calculated for the reference data packet. The estimated reference jitter is then translated to clock tics and a "corrected" clock-stamp reference value is calculated. Finally, the original clock-stamp reference value of the subsequent reference data packet is replaced with the "corrected" clock reference value, which includes compensation for the statistical estimation of network jitter, before it is sent to a phase locked loop (PLL). Since the new clock reference values are "corrected" based upon the statistical estimation of the average network system jitter, the phase error of the PLL is minimized, resulting in a more stable system time clock (STC). Among other benefits, the present invention

improves the quality of the received video and enables the system to tolerate more network jitter without video degradation.

### **Description of the Drawings**

Figure 1 shows a representative MPEG data packet comprising a header portion and a payload portion.

Figure 2 is a flowchart illustrating the steps of the present invention.

Figure 3 comprises timing diagrams that illustrate the relative times between the transmission and receipt of MPEG data packets.

### **Detailed Description of a Preferred Embodiment**

MPEG-2 and MPEG-4 video standards provide for multimedia data to be coded and transported in data packets. As shown in Figure 1, each MPEG-2 and MPEG-4 data packet comprises a header portion and a payload portion. As is known in the art, the header portion of the packet contains administrative information about the data packet, such as packet ID, transport priority, etc. The payload portion of the packet contains video and audio data. Depending on the format of the data packets (either MPEG-2 or MPEG-4), each header portion contains a Program Clock Reference (PCR) or Object Clock Reference (OCR), both of which correspond to the source device's clock at the time the reference data packet is transmitted. PCR or OCR data is included periodically in data packets transmitted from the source device to the destination device, and the data is used to synchronize the system clock reference (STC) at the destination device with the clock at the source device.

Figure 2 shows a flow-chart that illustrates the steps of the present invention, and Figure 3 shows a source device time line and a destination device time line that illustrates the relative timing of data packets transmitted from a source device to a destination device. The various time intervals shown in Figure 3 assist in illustrating the steps shown in Figure 2.

Referring to Figures 2 and 3, it is assumed that the source device transmits a stream of MPEG data packets with a constant nominal period  $T$  -- i.e., with a constant nominal time period between each data packet transmission. The particular value of period  $T$  depends upon the application, and the present invention can be used in connection with any period  $T$ . As shown in Figure 3, data packets are transmitted with period  $T$  from the source device at departure times ( $D_i$ ). Arrival times  $A_t$  correspond to theoretical arrival times at the destination device, assuming a constant delay network with delay time  $D_{ref}$ . However, because the network has a non-constant delay time, the actual arrival times differ from the theoretical arrival times. The actual arrival times are designated in Figure 3 as  $A_a$ . For each data packet that arrives at the destination device, the differences between the actual arrival time  $A_a$  and the theoretical arrival time  $A_t$  constitutes the jitter  $J$  for that particular data packet. Each data packet that arrives at the destination device is stored in a computer memory device that is of the type that is well-known in the art.

It is assumed that a clock-stamp reference value carrying a snap shot of the value of the clock at the source device is periodically stored in the header portion of data packets and sent every  $T_{ref}$  time, or every  $N$  packets. Again, the particular frequency with which reference clock values are inserted -- the particular values of  $T_{ref}$  and/or  $N$  -- do not



affect the applicability of the present invention. The value of  $T_{ref}$  could be an inter-PCR or inter-OCR period, as is known in the art, depending upon the specific transport mechanism used. Each data packet that contains a clock-stamped reference value is considered a reference data packet.

5 In step 22 of Figure 2, the destination device's clock is initiated to the clock-stamp reference value of the first data packet received by the destination device that includes a clock-stamp reference value. The initiation of the destination device's clock in this manner is done by default as per the MPEG standards. In step 26, a destination device counter is also initiated to the reference clock value to be used in connection with  
10 de-jittering. The destination device counter is used to register the actual arrival times of the incoming MPEG data packets.

Per step 28 of Figure 2, the arrival time of the first reference data packet carrying a clock-stamp reference value is registered and saved. Then, as shown in step 30, the actual arrival times ( $A_a$ ) of all subsequent packets ( $N$ ) received between two successive  
15 reference data packets are registered and saved. The arrival times are stored in computer memory devices that are well-known to those skilled in the art. The actual arrival times ( $A_a$ ) of the  $N$  packets are referred to herein as  $A_{ai}$ , where  $i=1$  to  $N$ . In step 34, the theoretical arrival times ( $A_t$ ), assuming a constant delay network, of the  $N$  packets are calculated using the actual arrival time of the reference data packet ( $A_{ref1}$ ) as a reference  
20 point. Specifically, the theoretical arrival times ( $A_{ti}$ , where  $i=1$  to  $N$ ) are calculated as follows:

$$A_{ti} = A_{aref1} + i * T,$$

where  $A_{aref1}$  represents the actual arrival time of the most currently-received reference data packet. As shown in step 38 of Figure 2, a jitter value ( $J_i$ , where  $i=1$  to  $N$ ) is calculated for each received data packet by subtracting the actual arrival times ( $A_a$ ) from the theoretical arrival times ( $A_t$ ) according to the following formula:

$$J_i = A_{ti} - A_{ai}.$$

After all of the jitter values ( $J_i$ ) have been calculated for the current subset of  $N$  data packets, a sample mean jitter ( $\mu$ ) is calculated, as shown in step 42, according to the following formula:

$$\mu = (1/N) * \sum_{i=1}^N J_i$$

The calculated sample mean jitter value ( $\mu$ ) can be positive, negative, or zero depending on the delay ( $D_{ref}$ ) experienced by the reference data packet and the number of data packets ( $N$ ) in the sample subset. The sample mean jitter ( $\mu$ ) represents the average network system jitter over the current sample of  $N$  data packets.

Based upon the calculated sample mean jitter value ( $\mu$ ), the jitter of the next reference data packet is estimated. Specifically, as shown in step 46, a “corrected” theoretical arrival time ( $A_{ctref2}$ ) is calculated for the next reference data packet according to the following formula:

$$A_{ctref2} = (A_{aref1} + (N+1) * T) - \mu$$

According to the above formula, the corrected theoretical arrival time of the next reference data packet ( $A_{ctref2}$ ) is determined by calculating the uncorrected theoretical arrival time ( $A_{aref1} + (N+1) * T$ ) and subtracting the estimated mean network jitter ( $\mu$ ).

The corrected theoretical arrival time of the next reference data packet ( $A_{ctref2}$ ) is used to calculate the jitter associated with that data packet ( $J_{ref2}$ ). After the next reference data packet containing a clock-stamped reference value is received (step 48), the jitter of that reference data packet is calculated by subtracting the actual arrival time from the corrected theoretical arrival time according to the following formula, as shown in step 50:

$$J_{ref2} = A_{ctref2} - A_{aref2},$$

where  $A_{ctref2}$  is the corrected theoretical arrival time of the next reference data packet and  $A_{aref2}$  is the actual arrival time of the next reference data packet. The corrected theoretical arrival times and the jitter values of the clock-stamp reference values are determined by an electronic controller that is of the type that is well-known in the art.

The corrected theoretical arrival time of the newly-received reference data packet is then used as a reference point for the calculation of the sample mean jitter of the next N data packets. Specifically, the sample mean jitter of the next N data packets is calculated as described above, except that the corrected theoretical arrival reference time ( $A_{ctref2}$ ) replaces the actual arrival time reference ( $A_{aref1}$ ) described hereinabove. Since the jitter calculation of the next N packets is based on a clock-stamped reference time that incorporates compensation for an estimated average network delay, the value of  $\mu$  for the following sets of N data packets should be close to zero and exhibit little variation under the same network operating conditions.

In step 54, the jitter value ( $J_{ref2}$ ) is translated to an adjustment step ( $\Delta$ ) in terms of the number of STC ticks, according to the following formula:

$$\Delta = J_{ref2} * \text{STC resolution},$$

where  $J_{ref2}$  is measured in seconds, and STC resolution is in tics per second. Based on the  $\Delta$  value, a corrected clock-stamp reference value is calculated. As shown in step 58, the corrected clock-stamp reference value, which includes compensation for the average network delay, replaces the actual clock-stamp reference value stored in the reference data packet before it is sent to the PLL. Replacing the received clock-stamped time reference with the calculated corrected clock-stamp time reference before it is sent to the PLL minimizes the phase error of the PLL and provides a more stable STC reconstruction.

The above-described process is repeated, as shown in Figure 2, each time a new reference data packet having a clock-stamp reference time included therein is received by the destination device. In this way, the actual clock-stamp reference value of each reference packet is replaced with a corrected clock-stamp reference value that incorporates compensation for the network system jitter. As a result, the destination device's PLL is more effective in recovering the system clock STC, which improves the quality of the video playback at the destination device.

While a preferred embodiment of the present invention has been described herein, it is apparent that the basic construction can be altered to provide other embodiments that utilize the processes and compositions of this invention. Therefore, it will be appreciated that the scope of this invention is to be defined by the claims appended hereto rather than by the specific embodiment that has been presented hereinbefore by way of example.

**Claims**

1. A method of compensating for non-constant delay times of a network transmitting MPEG-2 and MPEG-4 data packets, comprising the steps of:

estimating a network system jitter associated with reference data packets carrying clock-stamped reference values; and

5 adjusting said clock-stamped reference values based on said estimated network system jitter.

2. The method of claim 1, wherein said step of estimating a network system jitter comprises calculating a mean jitter value associated with a sample of data packets.

3. The method of claim 2, wherein said adjusting step comprises the substeps of calculating an estimated jitter value associated with a subsequent reference data packet based on said mean jitter value; and adjusting said clock-stamped reference value of said subsequent reference data packet based on said estimated jitter value associated with said  
5 subsequent reference data packet.

4. The method of claim 3, wherein said step of calculating an estimated jitter value associated with a subsequent reference data packet comprises the substep of calculating a corrected theoretical arrival time of a subsequent reference data packet based upon said calculated mean jitter value.

5. The method of claim 4, wherein said calculation of a jitter value associated with a subsequent reference data packet is based upon said corrected theoretical arrival time and an actual arrival time of said subsequent reference data packet.

6. The method of claim 5, wherein said adjusting step further comprises the substep of translating said jitter value associated with said subsequent reference data packet to a corresponding number of clock ticks.

7. The method of claim 1, wherein said adjusting step comprises the substeps of calculating an estimated jitter value associated with a subsequent reference data packet; and

adjusting said clock-stamped reference value of said subsequent reference data packet based on said estimated jitter value associated with said subsequent reference data packet.

8. The method of claim 7, wherein said step of calculating an estimated jitter value associated with a subsequent reference data packet comprises the substep of calculating a corrected theoretical arrival time of a subsequent reference data packet.

9. The method of claim 8, wherein said calculation of a jitter value associated with a subsequent reference data packet is based upon said corrected

theoretical arrival time and an actual arrival time of said subsequent reference data packet.

10. The method of claim 9, wherein said adjusting step further comprises the substep of translating said jitter value associated with said subsequent reference data packet to a corresponding number of clock ticks.

11. A method of compensating for non-constant delay times of a network transmitting MPEG-2 and MPEG-4 data packets, comprising the steps of:

- periodically receiving data packets with a nominal period;
- detecting a clock-stamp reference value in a first reference data packet;
- 5 calculating a jitter value of each data packet received subsequent to said first reference data packet until a second reference data packet having a clock-stamp reference value is detected;
- determining a sample mean jitter from said jitter values;
- establishing a corrected theoretical arrival time for said second reference data
- 10 packet;
- estimating the jitter of said second reference data packet; and
- adjusting said clock-stamp reference value of said second reference data packet.

12. An MPEG-2 and MPEG-4 transmission network, comprising:

a source device that transmits MPEG-2 or MPEG-4 data packets with a nominal period;

a destination device that receives said data packets;

5 an electronic communication channel having a non-constant delay period that is coupled between said source device and said destination device to receive said data packets from said source device and provide said data packets to said destination device;

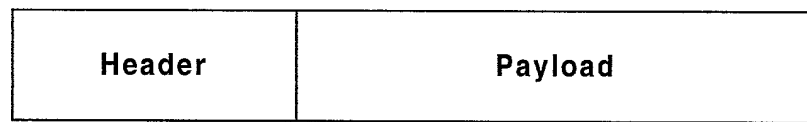
said destination device comprising an electronic controller that calculates a mean jitter value for a sample of said data packets, estimates a jitter value for a subsequent  
10 reference data packet outside of said sample, and adjusts a clock-stamp reference value of said subsequent reference data packet based on said estimated jitter value.



**Abstract**

The present invention relates to an improved method of de-jittering MPEG-2 and MPEG-4 data that is transmitted over a network. First, a network system jitter associated with periodic reference data packets is estimated. Then, the estimated system jitter is  
5 used to adjust clock-stamped reference values in the data packets before they are provided to the PLL for clock synchronization. The invented de-jittering method improves the PLL's ability to synchronize the MPEG data and provides for a better quality playback.

**MPEG Data Packet**



**Fig. 1**

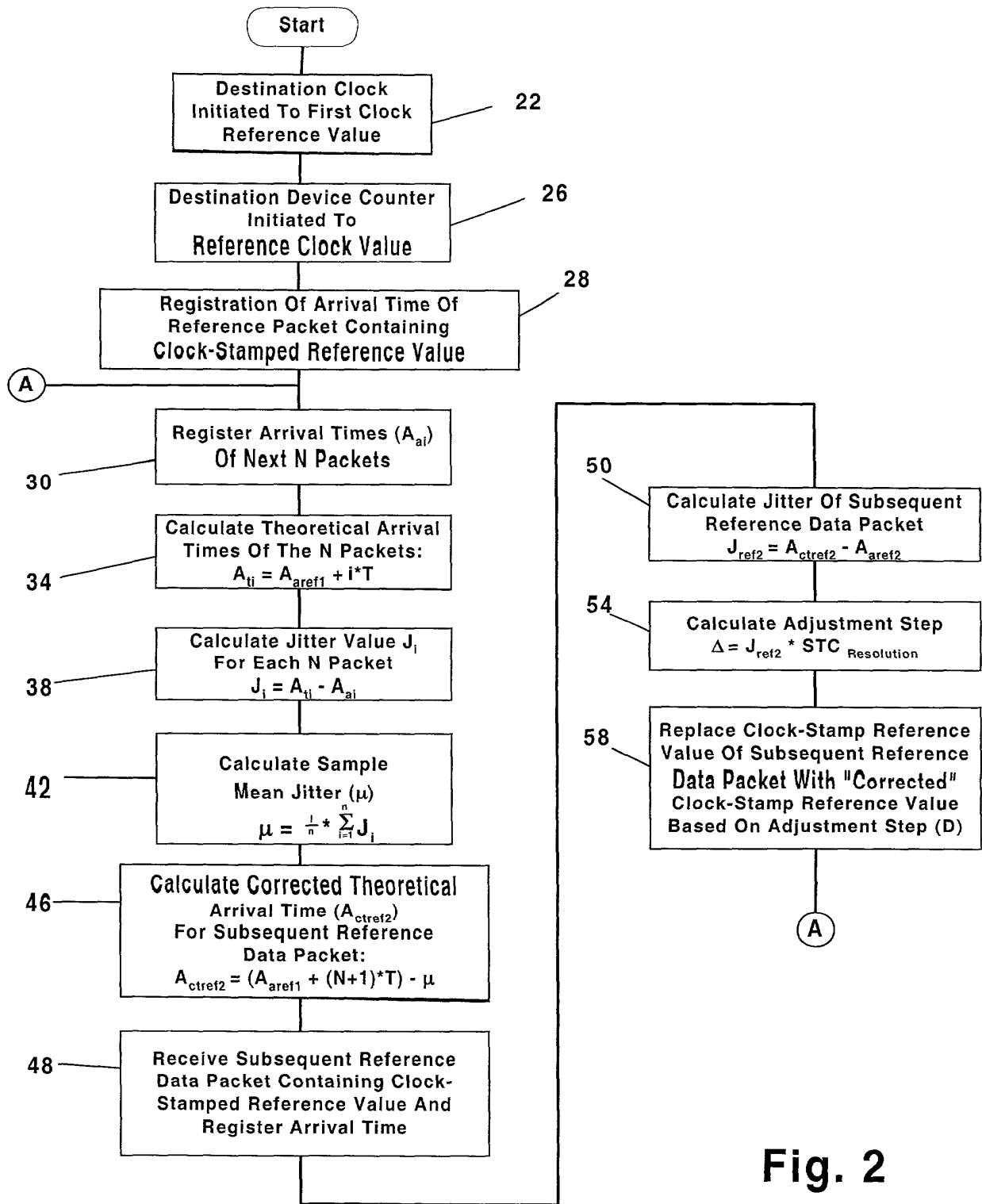


Fig. 2

**Fig. 3**

**DECLARATION AND POWER OF ATTORNEY FOR PATENT  
APPLICATION**

Attorney Docket No. 99-959

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR DE-JITTERING OF TRANSPORTED MPEG-2 AND MPEG-4 VIDEO**

the specification of which (check one) ☒ [ X ] is attached hereto. ☐ [ ] was filed on  
as Appln. Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_  
(if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed  
[ ☐ ] Yes [ ☐ ] No

(Number)

(Country)

(Day/Month/Year filed)

I hereby claim the benefit under Title 35, United States Code, 119(e) of any United States provisional applications(s) listed below.

60/167,339

(Application Number)

11/24/99

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date for this application:

(Appln. Serial No.)

(Filing Date)

(Status--patented, pending, abandoned)

Attorney Docket No. 99-959

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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